

## ASRR Recommendations Implementation Progress - Forum Assessment at 2 March 2017

Forum participants have been monitoring the implementation of the ASRR Government-accepted recommendations very closely. Participants have strong relationships with all government agencies involved and are well-placed to gauge the progress of implementation. The following table provides a summary of ASRR recommendations and an indication of the Forum's assessment of progress.

Critical Dates	Action
14 November 2013	ASRR Established
3 June 2014	Minister releases the Report of the ASRR
3 December 2014	Minister releases the Government's response to the ASRR Report
2 December 2015	Minister releases an update on progress on the implementation of the accepted ASRR Report recommendations
29 January 2016	Minister provides an update to the Aviation Industry Consultative Council on progress of the implementation of the accepted recommendations of the ASRR Report
28 April 2016	TAAAF publishes 2016 Aviation policies including a 'Forsyth Review Industry Scorecard
2 March 2017	TAAAF publishes the 2 <sup>nd</sup> Forsyth Review Industry Scorecard.

### Key

A = COMPLETED TO SATISFACTION OF INDUSTRY

B= UNDERWAY BUT SOME WORK STILL REQUIRED

C = COMMENCED BUT LITTLE ACHIEVED

F = NOT COMMENCED, OR TAKING A DIFFERENT DIRECTION TO RECOMMENDATION

UNKNOWN = DUE TO LACK OF COMMUNICATION

Rec #	Issue	Government Response	First Industry Scorecard	2 <sup>nd</sup> Industry Scorecard
1	State Safety Program - improved coordination	Agreed	C	A - although industry notes that little has been communicated to industry regarding changes and despite various industry submissions.
2	DIRD plays stronger role in SSP	Agreed	C	B - Airspace protection paper open, GA Review underway,

				ARFFS paper released, but GA Action Group not reconvened yet.
3	ATSB investigate as many fatal accidents as resources permit	Agreed	A	A - however, further improvements regarding priorities and resources required.
4	ATSB / CASA work to accredit CASA observers to investigations	Agreed	UNKNOWN	C - additional work required to effect 'just culture', especially in CASA.
5	Gov appoint ATSB commissioner with aviation experience	Agreed	A	A
6	CASA Board exert full governance control and have appropriate skills	Agreed	F	B - further work required on strategic issues to drive CASA improvements
7	Next DAS have leadership and management experience in cultural change	Agreed in principle	C	B - recruitment process stalled, although Acting DAS has industry support
8	CASA reinstate KPIs, hold a stakeholder survey, accept regulatory applications on-line and adopts PS Code of Conduct and Values	Agreed, with in-principle agreement to PS Code of Conduct	F	D - CASA performance and feedback not available. Sector KPI and SRP process stalled.
9	CASA establish staff exchange with industry	Agreed in principle	F	F - industry has no evidence of progress and no staff exchanges occurring.
10	Airservices <i>et al</i> reconsider 'Assessment of Priorities' policy	Agreed	UNKNOWN	C - apparently Dept is working on a new policy paper but industry is yet to see this.
11	ATSB & CASA amend MOU to be more definitive re: interaction	Agreed	A	A - however, industry has significant concerns regarding 'just culture in CASA.
12	CASA delegate responsibility for day-to-day management of airspace to Air Services	Noted.	UNKNOWN	C - industry does not believe the intent of the recommendation has been implemented and this required further work.
13	DIRD and Defence establish agreed position on safety oversight of civil ops into military airports	Agreed	UNKNOWN	F - this has not been implemented
14	CASA changes its regulatory philosophy and	Agreed	C	C - while there is some hope of change (eg CAO 48.1 and Avmed reviews), this is still to be

	builds an effective collaborative relationship with industry			implemented.
15	CASA continues to provide indemnity to delegates	Agreed in principle	F	F - the impact on delegates and industry has been significant and will continue due to changes to Examiners/ATOs under Part 61 etc.
16	CASA overhaul its training program	Agreed	F	F - there is no recruitment policy and industry is yet to verify any significant changes
17	CASA adopt 'just' culture	Agreed	F	F - while various publications from CASA discuss 'just culture' there remains a significant gap in what is experienced at the coal-face.
18	CASA reintroduce a 'use of discretion' procedure	Agreed in principle	UNKNOWN	C - while there has been some change to the regulatory philosophy and enforcement manual, there remains a significant difference with actual industry experience at the coal face.
19	ATSB transfer information to CASA on Mandatory Occurrence Reports without redaction of de-identification	Agreed in principle	UNKNOWN	F - industry remains opposed to this until CASA is able to demonstrate a functioning 'just culture'
20	ATSB transfer safety promotion role to CASA	Not agreed	No action required - recommendation not supported by industry	Not supported by industry
21	CASA change its structure to a client-oriented model	Noted	F	F - key elements of stakeholder engagement have not been included in the new Stakeholder Engagement group including regulatory reform, Sector Risk Profiles etc. The current structure should be refined to achieve this outcome.
22	CASA establish small offices at specific locations	Noted	F	F - none have been established and there has been no consultation with industry on the issue. There continues a lack of central control, policies and systems, problems remain with CLARC.
23	CASA share outputs of its risk process with certificate holders	Agreed in principle	F	F - AOC holders have seen no change. Industry remains concerned that SkySentinel is not an appropriate or fair risk management tool to oversee

				safety
24	CASA makes full disclosure of audit findings at exit	Agreed	F	F - AOC holders have seen little change in this area.
25	CASA introduce gradings of NCNs	Agreed	F	F - AOC holders have seen little change in this area.
26	CASA ensures consistency of audits and report times	Agreed	F	F - AOC holders have seen little change in this area. There has been no discussion with industry of this subject.
27	CASA implement a system of 3 <sup>rd</sup> party audits as a supplementary tool	Agreed in principle	F	F - CASA has failed to recognise any 3 <sup>rd</sup> party audit systems
28	CASA establish a safety risk management hierarchy based on the classification of operations	Agreed	F	F - CASA has failed to announce any change and has not engaged with industry on this long-standing issue.
29	Recreational aircraft to be registered by organisations under CASR Part 149	Agreed in principle	C	C - while a draft CASR Part 149 has been released for discussion, considerable work remains to deliver an outcome commensurate with the intent of the Recommendation.
30	CASA change to a 3 tier regulatory structure	Agreed in principle	F	F - there has been no change in this area and industry has not been consulted over this matter. Regulation continue to be drafted as previously.
31	CASA restructure all regulations not yet made into 3 tier structure and review those already made	Agreed in principle	F	F - there has been no change and industry has not been consulted on this issue.
32	CASA reassess penalties in the CASRs	Agreed	F	F - there has been no change and industry has not been consulted on this issue.
33	CASA apply project management to all unfinished regs and have drafting completed within one year	Agreed	F	F - there has been no change and industry has not been consulted on this issue. Ongoing problems with previous regulations continue to dominate discussions. Regs under development are likely to repeat previous errors - see Recs 28, 30, 31, 32
34	CASA DAS meet with industry to develop an improved SCC	Agreed	F	F - the SCC process remains the same - dysfunctional - and the proposed changes to establish a Director Advisory Panel do not address the recommendation.
35	CASA devolve to DAMES the ability	Agreed in principle	UNKNOWN	C - CASA has released a DP on AvMed which is very welcome.

	to approve medicals			However, a review is not delivery of this recommendation.
36	The Government amend regulations to simplify requirements for an ASIC card	Noted	F	F - there has been no change and industry has not been consulted on this issue.
37	CASA amends the terms of reference for the Industry Complaints Commissioner	Agrees in principle	F	C - it appears some matters have been implemented - notably the ICC reporting to the Board, however, there does not appear to be any change on other elements (eg establishment of review panels)